



**NatWealth Securities Limited**

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**KNOW YOUR CUSTOMER - LIMITED LIABILITY COMPANIES**

**Type of Company**

- Limited Liability Company
- Others (Please Specify) .....
- BOI Approved Limited Liability Company

**Type of Account**

- Treasury Bills / Bonds/ Repo / Rev Repo (Local)
- Sri Lanka Development Bonds
- Treasury Bills/Bonds/ Repo/ Rev Repo (Foreign)
- Treasury Bills/Bonds/ Repo/ Rev Repo (Diaspora)

If type of Account is Diaspora or

- SIERA No: .....
- Others (Please Specify) .....  
No: .....

**PATE A- Customer Information /**

Name of Business Entity : /	
Registered Address: /	
Registration No. /	Date of Incorporation /
Correspondence Address (Business / Factory address, if different to Registered address) /	Date of Commencement of Business/
Nature of Business /	Purpose of Business /

**Subsidiary /Associate.**

1. Are you a Subsidiary / Associate of the another organization? Yes / No (If answered “yes” please furnish details)

- a. Subsidiary (i.e. Owned more than 50%) of Ownership of the parent company.
- b. Associate of (i.e. owned 20% -50%) ownership of the parent company.

Do you have any subsidiaries / associates? Yes / No (if answered “yes” please give details)

Is the principal / subsidiary / associate listed in a local / foreign stock exchange? Yes / No (if answered “yes” please give details)

**PATE B - Financial information /**

Note: if the company is recently incorporated, please complete below with budgeted figures under “Current Year”

Are the audited financial statements for the last two years available?

}  Yes /  No /

Description (LKR, 000)	Current Financial Year	Previous Financial Year
Annual Sales Turnover		
Net Profit / Loss		
Paid-up Capital + accumulated Profits / Reserves		

**Tax Declaration**

The following is a mandatory declaration which is required to be completed by all under the Inland Revenue Act. No. 28 of 1979

Income Tax File No. }
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**PATE C - Director / Shareholder Information**

Directors and Major Share Holders (More than 10% Voting Shares)	N. I. C Number / Passport Number	% of Shares Held	Contact No.	Address

All Directors and Major Share Holders should complete a KYC Individual Information Sheet (Form AML –02) in addition to providing the above information as required by Rules Prescribed in terms of Section 2(3) of the Financial Transactions Reporting Act. No. 6 of 2006.

**PART D - Information pertaining to Account usage**

Expected deposits to be routed through the account p.m. (in LKR)	Less than 1,000,000	Above 10,000,000 up to 25,000,000	Above 100,000,000 up to 250,000,000
	Above 1,000,000 up to 5,000,000	Above 25,000,000 up to 50,000,000	Above 250,000,000 up to 500,000,000
	Above 5,000,000 up to 10,000,000	Above 50,000,000 up to 100,000,000	

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Authorized Signatory

.....  
Authorized Signatory

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Date